

10/549756

U.S. Application No.:

International Application No.: PCT/JP2004/003840

Atty Docket No.: JCLA18017

Date: September 16, 2005

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**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING UNDER 35 USC 371**

International Application No. : PCT/JP2004/003840  
International Filing Date : March 22, 2004  
Priority Date Claimed : March 20, 2003  
Title of Invention : OPTICAL COLLIMATOR  
Applicant(s) for DO/EO/US : HIROKAZU TANAKA and NOBUO FUNABIKI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ (X) This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ ( ) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ (X) **This express requests to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21).**
4. ☒ (X) The U.S. has been elected (Article 31).
5. ☒ (X) A copy of the International Application as filed (35 USC 371(c)(2))
  - a. ☒ (X) is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ ( ) has been communicated by the International Bureau.
  - c. ☐ ( ) is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ (X) An English language translation of the International Application as filed (35 USC 371(c)(2)).
  - a. ☒ (X) is attached hereto.
  - b. ☐ ( ) has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ (X) Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a. ☐ ( ) are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ ( ) have been communicated by the International Bureau.
  - c. ☐ ( ) have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ (X) have not been made and will not be made.
8. ☐ ( ) An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☐ ( ) An Oath of Declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ ( ) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ ( ) An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ ( ) An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ (X) A Preliminary Amendment.
14. ☐ ( ) An Application Data Sheet under 37 CR 1.76.
15. ☐ ( ) A substitute specification.
16. ☐ ( ) A power of attorney and/or change of address letter.

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17. ( ) A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ( ) A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ( ) A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. (X) Other items or information:  
a. (X) International Search Report (Form PCT/ISA/210).  
b. (X) Return post card.
21. (X) The following fees are submitted:

|                                    | FEES          |
|------------------------------------|---------------|
| Basic national fee                 | \$ 300        |
| Examination fee                    | \$ 200        |
| Search fee                         | \$ 400        |
| <b>TOTAL OF ABOVE CALCULATIONS</b> | <b>\$ 900</b> |

( ) Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

**AS FILED**

| FOR  | NUMBER<br>FILED |   | NUMBER<br>EXTRA |   | RATE   | FEE         |
|--|-----------------|---|-----------------|---|--------|-------------|
| Total Sheets   | 52 - 100        | = | 0               | × | \$ 250 | \$ 0        |
| Total Claims   | 10 - 20         | = | 0               | × | \$ 50  | \$ 0        |
| Independent Claims   | 1 - 3           | = | 0               | × | \$ 200 | \$ 0        |
| If application contains any multiple dependent claims(s), then add |                 |   |                 |   | \$ 360 | \$ 0        |
| <b>TOTAL OF ABOVE CALCULATIONS</b>                                 |                 |   |                 |   |        | <b>\$ 0</b> |

( ) Applicant claims small entity status. See 37 CFR 1.27.  
The fee indicated above are reduced by ½.

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))

|  |               |
|--|---------------|
| <b>TOTAL NATIONAL FEE</b>  | <b>\$ 900</b> |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) | \$ 0          |
| <b>TOTAL FEE ENCLOSED</b>  | <b>\$ 900</b> |

JC20 Rec'd PCT/PTO 16 SEP 2005

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U.S. Application No.: /

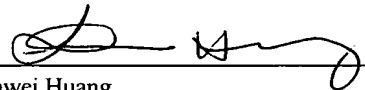
International Application No.: PCT/JP2004/003840  
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- (X) A check in the amount of \$ 900 to cover the above fee is enclosed.  
( ) A check in the amount of \$ \_\_\_\_\_ to cover the Assignment fee is enclosed.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of the above national phase application, or credit any overpayment to Deposit Account No. 50-0710 (Order No. JCLA18017). A duplicate copy of this transmittal is enclosed.

PLEASE SEND ALL CORRESPONDENCE TO:  
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IC20 Rec'd PCT/PTO 1 6 SEP 2005

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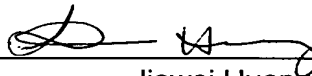
**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

**Docket No.** : JCLA18017  
**Applicant(s)** : HIROKAZU TANAKA ;  
NOBUO FUNABIKI ;  
**For** : OPTICAL COLLIMATOR  
**"Express Mail"** : EV 689969625 US  
**Mailing Label No.**  
**Date of Deposit** : September 16, 2005

I hereby certify that the accompanying

Transmittal in duplicate; A copy of the International Application PCT/JP2004/003840; English translation of International application Specification in 44 pages; 8 sheets of drawings; Preliminary Amendment; International Search Report (Form PCT/ISA/210); Check for national phase filing fee; Return prepaid postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Jiawei Huang